

TECHNICAL SERVICES LAW LIBRARIAN

Vol. 9, no. 4 May 1984

Newsletter of the Technical Services Special Interest Section and the On-line Bibliographic Services Special Interest
Section of the American Association of Law Libraries

SAN DIEGO 1984

CONVENTION BUSINESS MEETINGS

SUNDAY, July 1, 1984

9:00 A.M. - 10:00 A.M.	TS-SIS Acquisitions Big Heads
10:30 A.M. - NOON	TS-SIS Business Meeting
1:00 P.M. - 3:00 P.M.	OCLC (OBS-SIS) Subcommittee
2:30 P.M. - 5:30 P.M.	RLIN (OBS-SIS) Subcommittee

MONDAY, July 2, 1984

7:00 A.M. - 9:00 A.M.	OBS-SIS Executive Board
7:00 A.M. - 9:00 A.M.	TS-SIS Cataloging and Classification Standing Committee
8:00 P.M. - 9:30 P.M.	TS-SIS Cataloging Big Heads

TUESDAY, July 3, 1984

7:00 A.M. - 9:00 A.M.	TS-SIS Acquisitions Standing Committee
7:30 A.M. - 9:00 A.M.	TS-SIS Preservation Standing Committee
7:30 A.M. - 9:30 A.M.	OBS-SIS Business Meeting

WEDNESDAY, July 4, 1984

4:30 P.M. - 6:00 P.M.	TS-SIS Serials Standing Committee
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Questions or comments should be addressed to the editor or appropriate contributing
editor.

EDITORIAL POLICY

The TECHNICAL SERVICES LAW LIBRARIAN will carry reports or summaries of the conven-
tion meetings and programs of the TS-SIS and OBS-SIS, act as the vehicle of communi-
cation for SIS committee activities, and carry current awareness and short implemen-
tation reports.

Subscriptions are available from the editor at \$4.00, prepaid; checks should be made
payable to: American Association of Law Libraries.

EDITORIAL DEADLINES

<u>Issue date</u>	<u>Deadline</u>
November	October 7
February	January 15
May (Pre-convention)	April 7
August (Post-convention)	July 15

 ALA produced a "TV conference" in Los Angeles last year during the AALL convention in
 Houston. The second part of the conference was called "Blood, Toil, Tears, and Sweat:
 Rules and Formats," and received rave reviews. Lucia Rather and Peter Lewis starred
 in a presentation about AACR2, Henriette Avram and Allan Veaner debated the MARC format,
 and Joseph Rosenthal and Nancy John compared ALA and LC filing rules. PBS is still
 editing the tapes of that conference, but they should be available in time for the
 AALL convention in San Diego. The segment on the MARC format is scheduled for the film
 theatre on Tuesday, July 3, 9:30-10:30 A.M. Check for further details in San Diego.

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OBS-SIS: Convention News from the Chair

Suzanne Thorpe
Hennepin County

At the OBS business meeting, the first thing on Tuesday (coffee will be available), we will give special attention to the report of the Ad Hoc Committee to Study the Future of OBS which is published in this issue of TSLI. This report presents a number of suggestions both for restructuring the OBS and for promoting it to a wide range of law librarians. Your input at this meeting will be critical in determining the future of OBS-SIS. I look forward to seeing you there!

The following OBS sponsored programs should prove very informative:

"Needs Assessment Survey: Facing the Unknown Dragon."
Monday, July 2, 2:30-4:30 P.M. Coordinated by Catherine Tierney and Victoria Trotta (TS-SIS).

This program aims to assist us in taking the very first steps towards automation. The program is a must for anyone contemplating automating his/her library.

"Micro and Mini Computer Applications in Law Libraries."
Tuesday, July 3, 1:30-3:00 P.M. Coordinated by Susan Roach and Thomas Steele (Automation and Scientific Development SIS).

This program will instruct us in state of the art applications of the latest technology available to law libraries.

"MARC Formats for Everyone." Tuesday, July 3, 2:30-4:00 P.M.
Coordinated by Evelyn Smith.

This program will provide an opportunity to hear the experts discuss the rationale behind MARC and the potential these formats hold for the future. If you have any questions or problems with the MARC format, take them to the meeting. If you want to give the discussion leaders time to prepare responses, send your questions or concerns in advance to: Evelyn L. Smith, University of Michigan Law Library, Ann Arbor, MI 48109-1210 (RLIN online mail account: bm.mll).

TS-SIS: Convention News from the Chair

Melody Lembke
Los Angeles County

The TS-SIS business meeting will be held Sunday, July 1, at 10:30 A.M.
Tentative agenda for the meeting is:

1. Secretary/Treasurer's report (Johnting)
 - a. Minutes of last meeting
 - b. Treasury
 - c. Directory
2. Election results (Johnting)
3. Chair's report
 - a. Membership
 - b. Mailing labels
 - c. Survey results
 - d. San Diego programs
4. Standing Committee Chairs.
Hillmann, Ginkel, Slyhoff, Denham
5. "Big Heads" Coordinators
6. TSLI report - Finerty
7. Indexing vol. 1-8 of TSLI volunteer
8. Cataloging Institute
9. New York program planning - Paul
10. Other announcements

The annual meeting registration includes information on the following TS-SIS programs, discussion groups, and workshop:

Monday, July 2, 2:30-4:30 P.M.: Needs Assessment Survey: Facing the Unknown Dragon (Program no. 2).

Cooperative Collection Development: The Past as Prologue (Program no. 3).

4:30-6:00 P.M.: Serials Series in Law Cataloging (Discussion group F).

Electronic Transmission of Orders (Discussion group G).

Tuesday, July 3, 8:00-9:30 P.M.: Cooperative Collection Development (Discussion group X).

Wed., July 4, 1:30-3:00 P.M.: Administering the Library Preservation Program (Program no. 20).

Thurs., July 5, 8:30-5:00 P.M.: Workshop on Preservation of Law Library Materials.

Grace Fleeman of George Washington University Law Library has volunteered to index vols. 1-8 of the TECHNICAL SERVICES LAW LIBRARIAN.

OBS-SIS OCLC Subcommittee

Kaye Stoppel
Drake

This is my last reminder before the annual meeting in San Diego. Please reserve on your convention calendar Sunday, July 1, 1-3 P.M. for the OCLC users meeting. Consult your program for the location.

Frances McNamera will represent OCLC at our meeting as she did in 1983. Christine Grabenstatter, who has been the designated representative, will be on maternity leave. I plan to talk to Frances in June, so if you have any suggestions for discussion topics, please let me know. Christine and I talked about some of the areas that could be covered such as the latest enhancements, union list off-line products, and indexing. I look forward to seeing you in San Diego.

TS-SIS Serials Committee

Betsey Ginkel
University of Maine

The Serials Committee will hold its annual meeting on Wednesday, July 4, 4:30-6:00 P.M. The first half will be a brief business meeting, during which results of the survey, sent out in November, will be presented. Potential topics for projects and programs will also be addressed (a joint program with the Acquisitions Committee

has already been suggested). During the second half of the meeting, Kaye Stoppel (Drake) and Marilyn Nicely (University of Oklahoma) will share their experiences as members of the Mid-America Union Listing Project. The union list of periodicals began almost 2 years ago, and involves over 15 major law school libraries in the Mid-West. They will discuss what has been accomplished to date, goals for the future, and the problems and pleasant surprises that have been encountered in developing a regional automated union list. If you have any items you wish on the agenda, contact me.

SERIES AND SERIALS IN LAW CATALOGING. Mark your calendars now:

Date: Monday, July 2.

Time: 4:30-6:00 P.M.

Place: Sheraton Harbor Island, San Diego, CA

For: Discussion group on problems in cataloging serials and series.

The Serials Committee and the Cataloging and Classification Committee are working jointly to gather examples of specific problems so that a handout can be distributed. Please send copies of title pages, printouts, etc., to Betsy Ginkel, Donald L. Garbrecht Law Library, University of Maine School of Law.

OBS-SIS RLIN Subcommittee

Renee D. Chapman
University of Iowa

The RLIN Subcommittee meeting has been scheduled for Sunday, July 1, 2:30-4:30 P.M. The Schroeder Report, "Carnegie Study on Distributed Processing" is the first topic on the agenda. Donna Hirst (Iowa) will discuss the impact the Schroeder questionnaire has had on the University of Iowa's planning for automation of the libraries. Diane Hillmann (Cornell) will discuss issues raised by the Schroeder report. After discussion of this report, Jan James (RLG) will discuss future operational changes, including the redesign of the authorities file and non-books clustering. All RLIN libraries will receive a copy of Ed Glazier's response to the questions I sent to him regarding the implementation and use of subfield 7.

+++ The DRAGONSLAYER +++
Needs Assessment Survey: Facing the Unknown Dragon

Catherine Tierney
Boston

We are interested in how you planned or are planning to AUTOMATE YOUR LIBRARY

- who initiated the planning?
- what statistics did you need, what surveys did you take?
- what document structure did you follow for an official report?
- who was/was not involved?
- how long did it take?
- how was it received?
- what did you do right/wrong?

Some aspects of librarianship seem like dragonslaying. With this challenging thought in mind, we have designed a dragonslaying program for everyone - a program to guide you through the exciting (although somewhat terrifying and potentially overwhelming) adventure of planning your library's automation.

Defining what automation should accomplish for your users as well as for yourself is just a start. Successfully presenting these needs and defining the requirements to finance committees necessitate a knowledgeable team and cogent document. Developing this document, the Needs Assessment Survey (or Report), is the topic of our program.

Dr. Martha Hale, Associate Professor, University of Southern California School of Library and Information Management, will focus on the philosophical framework and the community assessment components of the planning process.

Nancy Kitchen, Director of the Library, and Paula Knecht, Head of Technical Services of the Law Library at Pepperdine University, will provide experienced recommendations on preparation for and set up of the project which will produce the document. They will describe how they organized and managed their own project and how the completed document was able to reflect their stated needs. Their overriding interest is to relay sufficient information so that the audience will be spared some of Pepperdine's mistakes and missteps.

After formal presentations, the audience will be invited to share their experience with developing like or similar documents. This program meets Monday, July 2, 2:30-4:30 P.M. The conversation may continue beyond 4:30. We encourage everyone to attend, especially those responsible for library planning or those interested in a well-defined approach to automation. The program is co-sponsored by OBS-SIS and TS-SIS.

TS-SIS Workshop on Preservation of Law Library Materials

Patricia Denham
University of Cincinnati

There are still a few openings for those who wish to attend the preservation workshop. The registration deadline has been extended to June 5, and the registration form is to be found in the May 1984 issue of the AALL Newsletter. Send the form to AALL Headquarters. The workshop is scheduled for Thursday, July 5, 8:30 A.M.-5:30 P.M.

The TS-SIS Preservation Committee will hold its meeting Tuesday, July 5, 7:30-9:00 A.M., and the agenda concerns:

- I. Review of 1983-84
 - a. survey
 - b. workshop and program
 - c. speakers bureau
 - d. clearinghouse for policies and job descriptions
- II. Focus for 1984-85: education of membership
- III. Project ideas for 1984-85
 - a. model preservation and disaster preparedness plans
 - b. liaison with other library groups
 - c. listing of fiche/film restoration projects

IV. Subcommittee(s) on diverse topic(s) within preservation area

V. Program for 1985?

As noted in the agenda, the focus for 1984-85 will be on education of the membership. This decision comes as a result of the findings of the recent survey of the members of AALL. There seems to be a need for basic information in preservation since it is such a new area to many librarians. There will be a discussion on the methods we could employ to reach as many law librarians as possible.

Also, since there has been a great deal of interest at past meetings in topics such as rare book preservation and microform conversion, we will look into the possibility of forming one or more subcommittees to delve into these topics in depth. Since our meeting time is limited, it is necessary for us to concentrate on planning for the coming year during that time. At the same time, however, it is recognized that there is interest in specific areas of preservation for which there are no projects planned for the coming year. Small subcommittees could concentrate on their special interests without taking time away from our regular meeting. Please contact Patricia Denham if you are interested in being a member or a chair of a subcommittee.

Think about possible program ideas for 1985. If we cannot have a full-length program, we could have a mini-program during our regular meeting time. This could be in the form of a panel or a program with one speaker. This has been done successfully by other committees within TS-SIS.

If you have any ideas or comments which you would like to have placed on the agenda, or if you are unable to attend the meeting and have comments, please contact Patricia Denham, Marx Law Library, University of Cincinnati, Cincinnati, OH 45221-0142

KK-KKC (Law of Germany) Classification

Cecilia Kwan
University of California, Davis

It does not appear that many law libraries are reclassifying their German collections in the LC classification KK-KKC even though the schedule has been out for two years. We put a notification in the August 1983 issue of TSLI asking for information. Only two libraries responded. Lewis and Clark College, Northwestern School of Law, has finished, and they put the class numbers in OCLC. However, Kathy Faust of Northwestern cautions that they have a very small German collection, and because of OCLC's problems in showing updated data, her work would probably not be sharable with other law libraries. Gail Daly of Minnesota wrote an extensive description of her reclassification procedures. She has completed 1019 titles as of September 1983. But because that was done prior to their joining RLIN, only new materials they catalog will enter the system and benefit other libraries attempting to reclass. A random search in RLIN shows some law libraries adopting LC class numbers as they appear on LC records, but they do not appear to be reclassifying. We understand that McGeorge School of Law has begun to reclassify. If you have started to reclassify, and especially if you are entering the classification numbers in a national database, please drop us a note. It is such a major investment in time. Maybe more law libraries will reclassify if they know they can get some help from others through the networks. Please contact: Cecilia Kwan, University of California, Davis, California 95616.

b) represent the interests of law libraries to vendors of services and networks through standing committees.

c) encourage time and money saving techniques in the use of systems by providing section members the opportunity to share ideas in print and in meetings.

d) monitor the products and services of online systems and networks, by setting up subcommittees to "keep up" with various systems.

So that the name of the section might better reflect the new goals and mission of the section the following name changes have been suggested:

- a) On-line Services Special Interest Section
- b) On-line Systems Special Interest Section

Structure

The following committee structure has been suggested for the section. There should be five standing committees:

1) OCLC Subcommittee (existing)--acts as a liaison between users of the utility and the utility.

2) RLIN Subcommittee (existing)--acts as a liaison between users of the utility and the utility.

3) WLN Subcommittee--acts as a liaison between users of the utility and the utility.

4) User's Services Subcommittee (new)--to represent the interests of public services librarians in using online public catalogs, online circulation systems, automated ILL, LEXIS, Westlaw, Dialog, etc.

5) Publicity Subcommittee (new)--responsible for supplying section information to section and association newsletters and giving information about the section to new members and keeping current members informed about section and subcommittee activities.

Publicity

One of the expressed aims of the section is that more should be done to "get the message" out to members and prospective members about its goals, purposes, activities, and concerns.

It is hoped that through increased publicity some positive results will accrue to the section and its members. In addition, it is hoped in this way to foster improved communications between the committees and officers on the one hand and the membership as a whole and also laterally among the members.

Certain of the goals sought to be attained through increased publicity and improved communication are:

- 1) Greater visibility for the section and its activities.
- 2) A better understanding of the section's goals and purposes by the membership.
- 3) A growing membership.

4) Greater participation by members in committees and activities.

5) A forum for members' concerns arising from their participation as subscribers to bibliographic utilities and data bases.

Toward meeting the goals set forth above and any others consonant with the expressed aims described, it is recommended that a Publicity Committee be established, this to be a standing committee to be constituted through the solicitation of volunteers and the appointment of the chairperson. It is suggested that there be from three to five members, with one designated as chair, and that there be overlapping terms of service, none to be less than two years.

This committee may be charged with such responsibilities as the following:

a) Working closely with the president to supply news of the section's activities and members to the newsletters of the section and the association.

b) Developing, assembling, and arranging for distribution a packet of informative materials to be presented to new and prospective members. This packet should include information about committees of the section and their activities; a copy of the bylaws; a current list of members, if possible (not necessarily with any expectation of keeping this up-to-date); information about the newsletter, its cost, features, distribution (perhaps with a sample copy of a recent issue) and any other such materials considered appropriate.

c) Innovating informative columns of other features to be included in whatever newsletter the section publishes (whether jointly or singly).

This could include responsibility for recruiting the person or persons to assume the function of continuing with such columns or features. Such person or persons would then be accountable to meet the quality, deadlines, standards, space allowance, etc., as set by the editor of the newsletter.

d) Encourage and assist with the dissemination of information from present and future standing committees which serve as forums for members' concerns with bibliographic utilities and data bases. Most often, this may be through the newsletters. However, there should be on-going assessment of the most effective means of keeping members informed. The best means to accomplish the reporting should be determined jointly by the appropriate standing committee and the Publicity Committee.

e) Establishing and publicizing methods for members to communicate news, questions, etc., to the appropriate officers, and committee chairs so that the section remains responsive to members' concerns.

f) Providing special information or appropriate handouts at the annual meeting for members to take home such as statistics about the number of active members; their representation by library, utility, data base; encouragement to participate in committee work.

We hope that these suggestions offer a foundation upon which to build an expanded section structure. The Ad Hoc Committee sees many possibilities for an active and exciting future for the section. The enactment of some of the ideas will require bylaws changes and other formalities. The section chairperson will take the appropriate action in having the section decide which ideas should be implemented, and taking the necessary steps to implement them.

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On Description and Entry

Rhonda Lawrence Mittan
Los Angeles County

The following question was submitted by Kathy Faust, Northwestern School of Law, Lewis and Clark College.

I am confused. I recall hearing during a workshop on AACR2 presented by people who were at the AACR2 pre-conference in St. Louis that the heading [jurisdiction] Courts. (and other similar headings) would not be valid under AACR2. Now that AACR2 has been with us for awhile, I've noticed people using that type of heading. I even saw LC cataloging with the heading, although I can't lay my hands on the record as an example. However, in searching OCLC to see if I could find LC cataloging I found this name authority record. As I understand the fixed fields this is a valid AACR2 heading. Is that true? Can I indeed use this type of heading under AACR2?

An example from the OCLC name authority file, based on LC name authority records.

```
Rec stat: n Entrd: 810206 Used: 810206
Type: z Bib lvl: x Govt Agn: Lang: Source:
Site: 002 InLC: a Enc lvl: n Head ref: a Head: c
Head status: a Name: a Mod rec: Auth status: a
Ref status:

1 010 n 80145203
2 110 10 Iowa. b Courts. w n001801218aacann---nnnn
3 667 Substitute w n002801218aanann--nnnn
```

The same heading in the RLIN name authority file, also based on LC name authority records.

```
PROD LCR LUN LCRN80145203-A Search CLCL-LCL
FIN CP IDWA COURTS# 1 record in LCR
This authority record in the RLIN system is displayed in the 'lon[]' form.
Authorized heading RCI:n NMU:a NMRic SRU:? SBU:?
1.0 Iowa. Courts.
Substitute
```

A similar heading that even includes a cross reference, and was recently added to the RLIN name authority file via LC distributed name authority tapes.

```
PROD LCR FUL/AUT LCRN8365126-A Search CLCL-LCL
Record 1 of 2
This printout shows the full form of the LC authority record in the RLIN system.
ID:LCR8365126-A ST: RTYP: MS:n EL:n AU:04-13-83 UD:
USE:a LHE:1a CSC: MOD: GHC:1? UNQ:1a UIF:a RCI:1a L:??
NMU:1? NMRic SBU:1? SBR:n DID:n SRU:1? STP:1? SNR:1?
010 n8365126
110 10 California.+bDistrict Courts of Appeal.
410 10 California.+bCourts of Appeal, District
```

Editor's reply: Those authority records could confuse anyone. Before answering your question in detail, Ms. Faust, I will state that no, the heading is not an AACR2 choice or form of entry. Certainly the coding in the fixed fields in either the OCLC or RLIN printouts could lead one to assume that the heading "Courts" is valid under AACR2, but it is not. Just as in any conflicting situation in descriptive cataloging, one must read the entire record in context, and know the history of previous cataloging codes

and online authority and bibliographic files. For instance, let's talk about the history of the collective subheading "Courts." The ALA Cataloging Rules, number 89G, prescribed entry of reports of three or more courts published together with a collective title under the heading "[Jurisdiction.] Courts." Later in the Anglo-American Cataloging Rules 1st edition (AACR1) those "bastardized author entries," as Seymour Lubetzky termed them, were eliminated. Reports of more than one court, according to AACR1, rule 26A2, were to be entered under the first named court if no more than three, or under title if reports of more than three courts were included. AACR2 has several conditions for determining choice of entry. Entry may be under title if more than three reporters are named. For works in which no reporters are named, entry is under title, never the name of a court or the collective "Courts."

If all that is true, you may be asking, why would an ALA form of entry show up coded as an AACR2 heading in an online authority file? To answer that question, we must again consult history. In 1967 when the Library of Congress implemented AACR1, it decided to apply a policy of "superimposition" (Cataloging Service Bulletin, 79, January 1979: 1-2). Under that policy, AACR1 headings were applied only to persons and corporate bodies that were being established for the first time. New editions or new works continued to be entered under the earlier ALA headings. Unfortunately, particularly for legal materials, many headings and form subheadings remained in the ALA form until and continuing through the adoption of AACR2.

Then in 1978 when the Library of Congress began converting existing name authority records to machine-readable form, coding for AACR2 form also began (CSB, 3 Winter 1979: 7). Due to a number of circumstances too complicated to explain in detail here, LC has found that process of converting headings to be very difficult. Suffice to say that simple "machine flips" are not possible when: 1) a record has no cross reference from which to make a flip, i.e., "Iowa. Courts."; and 2) the heading would not be authorized in any form under AACR2. Obviously, LC does not have the manpower to manually delete non-AACR2 headings, and therefore a heading is not evaluated until it is needed in the course of current cataloging. (CSB, 12, Spring 1981: 49-50.) Attempts at identifying unevaluated records have had varying degrees of success (CSB, 15, Winter 1982: 32-33). In September 1983 LC contracted out to change approximately 154,000 pre-AACR2 headings to AACR2 form (CSB, 22, Fall 1983: 4-5).

As a result, Ms. Faust, there are many headings in that name authority file that appear to be AACR2 headings, but in reality are not. The editor's prescription? Take two aspirin as needed for bibliographic pain.



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